Edwards & Angell LLP

Mail to P.O. Box 55874 Boston, MA 122 101 Federal Street Boston, MA 02110 617.439.4444 fax 617.439.4170 Peter C. Lauro

617.517.5509 fax 888.325.9044 plauro@EdwardsAngell.com

HOV O 3 2004 E

December 3, 2004

Re:

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OFFICE OF PETITIONS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

U.S. Patent Application No.: 10/729,576

Title: CELL SURFACE PROTEINS AND USE THEREOF AS INDICATORS OF

ACTIVATION OF CELLULAR SIGNAL TRANSDUCTION PATHWAYS

Inventor: Kirill Ostanin, et al. Filed: December 1, 2003

Our Ref. No.: 60409CON(50370)

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Response to Notice to File Missing Parts of NonProvisional Application (2 pages, in duplicate);
- 2. Copy of Notice to File Missing Parts of NonProvisional Application (2 pages);
- 3. Copy of executed Declaration, Petition and Power of Attorney for Patent Application (6 pages);
- 4. Request Under 37 C.F.R. § 1.28(c) to Excuse Error in Payment of Small Entity Fees (3 pages);
- 5. Request for One Month Extension of Time (1 page, in duplicate);
- 6. Fee Transmittal (1 page);
- 7. Check in the amount of \$843.00 which includes \$130.00 (surcharge) based on large entity status, \$110.00 large entity fee for a one month extension of time and \$603.00 to cover the difference between the small entity basic filing and extra claims fees and;
- 8. Prepaid acknowledgement postcard.

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December 3, 2004 Page 2

No additional costs are believed to be due in connection with the filing of this Response to Notice to File Missing Parts of NonProvisional Application. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 04-1105. For this purpose, a duplicate of this sheet is attached.

"Express Mail" mailing label number <u>EV 517929875 US</u>
Date of Deposit December 3, 2004
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Signature Peter C. Lauro, Esq.
Please Print Name of Person Signing

Edwards & Angell, LLP Attorneys at Law

Peter C. Lauro, Esq.

Registration No. 32,360

P.O. Box 55874 Boston, MA 02205

Telephone: 617-517-5509 Facsimile: 888-325-9044

Customer No.: 21874

Edwards & Angell L.

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December 3, 2004

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Signature
Peter C. Lauro, Esq.
Please Print Name of Person Signing

Edwards & Angell, LLP

Attorneys at Law

Peter C. Lauro, Esq. Registration No. 32,360

P.O. Box 55874 Boston, MA 02205

Telephone: 617-517-5509 Facsimile: 888-325-9044

Customer No.: 21874

PTO/SB/17 (10-04v2)

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Complete if Known

Application Number 10/729,576-Conf. #9888

Filing Date December 1, 2003

First Named Inventor Kirill Ostanin, et al.

Examiner Name Not Yet Assigned

received Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit TOTAL AMOUNT OF PAYMENT 60409CON(50370) 843.00 Attorney Docket No. **_9** 2004 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) OFFICE OF PETITIONS 3. ADDITIONAL FEES Check None Х Other Order Deposit Account: Large Entity Small Entity Deposit 04-1105 Fee Fee Description Code (\$) (\$) Code Fee Paid Number Deposit 1051 130 2051 65 Surcharge - late filing fee or oath 30.00 Edwards & Angell, LLP Account Name Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments 1053 130 1053 130 Non-English specification Charge any additional fee(s) or any underpayment of fee(s) 1812 2.520 1812 2,520 For filing a request for ex parte reexamination х Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR prior to 920 1804 920* 1804 to the above-identified deposit account. Examiner action Requesting publication of SIR after 1,840* 1805 1,840 1805 Examiner action **FEE CALCULATION** 110 110.00 1251 2251 Extension for reply within first month 55 1. BASIC FILING FEE 1252 430 2252 215 Extension for reply within second month Large Entity **Small Entity** 1253 980 2253 490 Extension for reply within third month Fee Description Fee Fee Fee Fee Paid Extension for reply within fourth month 1254 1.530 2254 765 (\$) (\$) 1001 790 2001 395 Utility filing fee 1255 2.080 2255 1.040 Extension for reply within fifth month 1002 350 2002 175 Design filing fee 1401 340 2401 170 Notice of Appeal 1003 550 2003 275 Plant filing fee 1402 340 2402 170 Filing a brief in support of an appeal 1004 790 2004 395 Reissue filing fee 1403 300 2403 150 Request for oral hearing 2005 Provisional filing fee 1005 160 80 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 Petition to revive - unavoidable SUBTOTAL (1) (\$) 1453 1,370 2453 685 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,370 2501 Utility issue fee (or reissue) Extra Fee from 490 2502 1502 245 Design issue fee Claims below Fee Paid Total Claims 1503 660 2503 330 Plant issue fee Independent 1460 1460 130 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(g) 1806 180 1806 Submission of Information Disclosure Stmt 180 Large Entity | Small Entity Recording each patent assignment per Fee (\$) Fee Fee 40 Fee Description 8021 8021 40 Code Code (\$) property (times number of properties) Filing a submission after final rejection 1202 18 2202 9 Claims in excess of 20 1809 790 2809 395 (37 CFR 1.129(a)) 1201 88 2201 Independent claims in excess of 3 1001-\$405.00 and 1202- \$198.00 to cover the Other fee specify 1203 300 2203 150 Multiple dependent claim, if not paid difference between the small entity basic filing 603_00 1204 88 2204 ** Reissue independent claims and extra claims fees and the large entity over original patent basic filing and extra claims fees 1205 18 2205 ** Reissue claims in excess of 20 and over original patent Other fee (specify) SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 843.00 **or number previously paid, if greater, For Reissues, see above SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Peter C 32,360 Telephone (617) 439-4444 Date December 3, 2004 Sianature

I hereby certify that this correspondence is being deposited with the U.S. Postal 8ervice as Express Mail, Airbill No. EV 517929875 US, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

 \mathcal{U} Peter C. Lauro)

Dated: December 3, 2004

Signature

NOV 0 3 2004

PTO/SB/17 (10-04v2)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE duction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Complete if Known **'RANSMITTA**I 10/729,576-Conf. #9888 Application Number December 1, 2003 for FY 2005 Filing Date Kirill Ostanin, et al. First Named Inventor Effective 10/01/2004, Patent fees are subject to annual revision **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit **TOTAL AMOUNT OF PAYMENT** 60409CON(50370) **JECE**IVED 843.00 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) DEC 0 9 2004 3. ADDITIONAL FEES X Check Other None Deposit Account: OFFICE OF RETITIONS Large Entity Small Entity Deposit 04 - 1105Account Fee Fee Description Number Code (\$) Code (\$) Fee Paid Deposit 1051 130 2051 65 Surcharge - late filing fee or oath 30.00 Edwards & Angell, LLP Account Name Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments 1053 1053 130 130 Non-English specification Charge any additional fee(s) or any underpayment of fee(s) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR prior to 1804 920* 1804 to the above-identified deposit account. Examiner action Requesting publication of SIR after Examiner action 1,8401 1805 1805 FEE CALCULATION 1251 110 2251 110.00 Extension for reply within first month 1. BASIC FILING FEE 1252 430 2252 Extension for reply within second month 215 Large Entity Small Entity 1253 980 2253 Extension for reply within third month 490 Fee Description Fee Paid 1254 1,530 2254 765 Extension for reply within fourth month Code (2) Code (\$1 1001 790 2001 395 Utility filing fee 1255 2,080 2255 Extension for reply within fifth month 340 1002 350 2002 175 Design filing fee 1401 2401 Notice of Appeal 1003 550 2003 275 Plant filing fee 1402 340 2402 170 Filing a brief in support of an appeal 1004 790 2004 395 Reissue filing fee 1403 300 2403 150 Request for oral hearing 1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 2452 110 Petition to revive - unavoidable 55 SUBTOTAL (1) 0.00 1453 1.370 2453 685 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,370 2501 685 Utility issue fee (or reissue) 1502 490 2502 245 Design issue fee Fee Paid Claim: below **Total Claims** 2503 1503 660 330 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Claims 1807 50 1807 Processing fee under 37 CFR 1.17(q) Multiple Dependent 50 1806 180 1806 Submission of Information Disclosure Stmt Large Entity Small Entity Recording each patent assignment per Fee Description 8021 40 8021 Code (\$) Code (\$) property (times number of properties) Filing a submission after final rejection 1202 18 2202 Claims in excess of 20 1809 790 2809 395 (37 ČFR 1.129(a)) 88 1201 2201 44 Independent claims in excess of 3 1001-\$405.00 and 1202-\$198.00 to cover the Other fee specify 1203 300 2203 difference between the small entity basic filing 603.00 150 Multiple dependent claim, if not paid 1204 88 2204 Reissue independent claims and extra claims fees and the large entity over original patent basic filing and extra claims fees. 1205 18 2205 Reissue claims in excess of 20 and over original patent Other fee (specify) SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 843.00 **or number previously paid, if greater; For Reissues, see above SUBMITTED BY (Complete (if applicable)) Registration No. G Name (Print/Type) Peter 32,360 Telephone (617) 439-4444 December 3, 2004 Signature

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Dated: December 3, 2004

Signature

(Peter C. Lauro)

NOV 0 3 2004 POINT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kirill Ostanin, et al.

Serial No.: 10/729,576

Filed: December 1, 2003

For: Cell Surface Proteins and Use Thereof As Indicators of Activation of Cellular Signal Transduction

Pathways

Attorney Docket No.: 60409CON(50370)

MAIL STOP MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit: Not Assigned

Examiner: Not Assigned

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100at 601111
Peter C. Lauro, Esq.
Please Print Name of Person Signing Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated September 3, 2004, Applicants enclose a copy of the executed Declaration, Petition and Power of Attorney filed in parent application Ser. No. 09/658,765, filed September 8, 2000. The instant application is a Rule 53(b) continuation of the parent application and claims priority to the parent application pursuant to 35 U.S.C. §120. A copy of the Notice to File Missing Parts of Nonprovisional Application is also enclosed. A Request for a one-month extension of time and the appropriate fee based on large entity status are submitted concurrently herewith

U.S. Serial No. 10/729,576 Attorney Docket No. 60409CON(50370)

At the time the instant application was filed, Applicants claimed small entity status pursuant to 37 C.F.R. §1.27(c), and the basic filing and extra claims fees were paid based on small entity status. However, the claim for small entity status was made in error, and without deceptive intent. Accordingly, Applicants submit concurrently herewith a Request pursuant to 37 C.F.R. §1.28(c) to excuse error in payment of small entity fees.

Applicants also enclose a check in the amount of \$843.00 which includes \$130.00 (surcharge) based on large entity status, \$110.00 large entity fee for a one month extension of time and \$603.00 to cover the difference between the small entity basic filing and extra claims fees and the large entity basic filing and extra claims fees.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 04-1105. A duplicate of this letter is enclosed.

Respectfully submitted,

EDWARDS & ANGELL, LLP

Peter C. Lauro, Esq.

Registration No. 32,360 Attorney for Applicants

EDWARDS & ANGELL, LLP P.O. Box 55874 Boston, MA 02205 Tel. (617) 517-5509

Dated: December 3, 2004

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In re the application of: Kirill Ostanin, et al.

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Examiner: Not Assigned

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1000 60111
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Peter C. Lauro, Esq.

Registration No. 32,360 Attorney for Applicants

EDWARDS & ANGELL, LLP P.O. Box 55874 Boston, MA 02205 Tel. (617) 517-5509

Dated: December 3, 2004



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.usplo.gov

2014 DEC -7 PM 1: 02 APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/729,576

12/01/2003

Kirill Ostanin

50370-60409CON

21874 **EDWARDS & ANGELL, LLP** P.O. BOX 55874 BOSTON, MA 02205



CONFIRMATION NO. 9888 FORMALITIES LETTER RECEIVED 3714137*

DEC 0 9 2004

Date Mailed: 09/03/2004

OFFICE OF PETITIONS

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

00000007 10729576 12/07/2004 HBERHE \$65 Late oath or declaration Surcharge. Replies should be mailed to: Mail Stop Missing Parts Commissioner for Patents [⊕]P.O. Box 1450 -195_AA_0Alexandria VA 22313-1450 01/14/2005 AKELLEY 00000003 10729576 130.00 OP 02 FC:1051 A copy of this notice <u>MUST</u> be returned with the reply.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE